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# Cohesive, frictional powders: contact models for tension

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**Abstract** The contacts between cohesive, frictional particles with sizes in the range 0.1 to 10  $\mu\text{m}$  are the subject of this study. Discrete Element Model (DEM) simulations rely on realistic contact force models – however, too much details make both implementation and interpretation prohibitively difficult. A rather simple, objective contact model is presented, involving the physical properties of elastic-plastic repulsion, dissipation, adhesion, friction as well as rolling- and torsion-resistance. This contact model allows to model bulk properties like friction, cohesion and yield-surfaces. Very loose packings and even fractal agglomerates have been reported in earlier work. The same model also allows for pressure-sintering and tensile strength tests as presented in this study.

**Keywords:**

granular materials, molecular dynamics (MD) and discrete element model (DEM) force-laws, friction, rolling- and torsion-resistance, adhesion, plastic deformation

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## 1 Introduction

Cohesive, frictional, fine powders show a peculiar flow behavior that can be quantified by macroscopic bulk properties as, among others, cohesion, friction, yield and tensile strengths, dilatancy, stiffness, and anisotropy. The information propagation in such granular media is not completely understood, neither on the micro- nor on the macro-level, especially when friction and other contact mechanisms are involved. Nevertheless, the macroscopic properties are controlled by the “microscopic” contact forces and torques, involving, e.g., contact adhesion or

friction. Molecular Dynamics (MD) or Discrete Element Models (DEM) require the contact forces and torques as the basic input, to solve the equations of motion for all particles in the system. Alternative methods like event-driven MD [38; 39; 41] or contact dynamics [55; 56; 63; 65; 28] are based on further simplifications, like the assumption of instantaneous contacts or the perfect rigidity of particles, but will not be discussed here.

Research challenges involve not only the realistic quantitative and predictive simulation of many-particle systems, their experimental validation, but also the transition from the microscopic contact properties to the macroscopic flow behavior. This so-called micro-macro transition should allow to understand the collective flow behavior of many particles as function of their contact properties.

The goal of this paper is to provide a minimal set of contact models – as a compromise between a realistic and an easy to handle modeling approach. Naturally the contact model will be over-simplified, however, many details seem not to be important for the behavior on the macroscopic level. A single contact-model allows to simulate various systems and structures, as mentioned above. A better and deeper understanding of the relation between micro- and macro-properties will be facilitated by simpler contact models – fine-tuning can be achieved in a future step.

### 1.1 Frictional Contact Models

Typically the normal and tangential (frictional) contact forces are dealt with separately. While the former are subject of ongoing dispute, the latter are implemented in a commonly accepted way, based on the first realistic model for static friction, as introduced by Cundall and Strack [12; 73; 64; 39; 6]: a virtual tangential spring is attached to each contact and evolves while the contact partners are moving and rotating, relative to each other, due to the contact force and the many other forces from other particles. Even though much more advanced mod-

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els were discussed in the literature, related to the early works of Mindlin et al. [53; 54], Derjaguin et al. [15], and Johnson et al. [26], the basic idea remains the same, being complemented by additional effects like, e.g., hysteresis, non-linearity, and others [72; 93; 27; 83; 94; 84]. Advanced contact models are then applied to various situations in powder flow [95; 97; 73; 36; 80; 6; 29; 82]. The present study will deal with the simplest linear visco-elastic tangential spring only, however, involving the possibility for different coefficients of static and dynamic friction as a new ingredient.

The tangential friction will lead to forces, but also to torques on the contact partners. Rolling- and torsion-resistance [62; 78; 2; 16; 44; 17] can play an important role in particle systems, since they also lead to torques, typically reducing the particles' freedom to rotate. This can be used to mimic the effects of surface roughness and non-spherical shapes to some extent [59; 58; 57], but naturally, non-spherical particles require more advanced algorithms [31; 52; 89] – not discussed further in this study.

The present implementation of rolling- and torsion-resistance is based on the same ideas as the model for static and dynamic friction – even the algorithm/subroutine for the evolution of the tangential spring can be used for rolling and torsion degrees of freedom – for both particle-particle and particle-wall contacts. Note that one has to assure that the contact models are objective, i.e., a rotation of the frame of reference must not affect the result.

## 1.2 Normal Contact Modeling

For fine particles, not only friction is relevant, but also adhesive contact properties due to van der Waals forces. Since effects like liquid and possibly solid bridges are not subject of the present study, we refer to the detailed literature, see Refs. [87; 88; 24; 9; 68; 5; 74; 90; 20; 19; 69] and references therein.

Also other phenomena are relevant for the normal force model: Due to the very small contact areas, already moderate forces will lead to plastic yield and plastic deformation of the material in the vicinity of the contact. This will lead to a larger contact area with increased stiffness and increased adhesion due to the van der Waals forces. Like in the case of friction, plenty of models are available, some of them based on visco-elasticity [32; 47; 76; 7] others on elasto-plasticity [93; 27; 25; 83; 94; 84]. For spheres, typically contact models in the spirit of Hertz [22; 66; 4; 60; 35; 79; 70] seem appropriate – but only when the forces are small enough so that the yield stress is reached nowhere close to the contact surface. For rather large metal spheres, the details of contact models are even measurable, when waves propagate along chains of particles [49; 75; 10; 11], and a Hertz based contact law is recommended. However, Hertz models will not be discussed in this study, since finer powders

only have a negligible range of elastic Hertz-like behavior [87] and, furthermore, are never perfectly spherical at the contact anyway. The present model is a piece-wise linear generalization of the hysteretic model ideas of Walton [94; 96], involving plastic deformations, nonlinear stiffness and history dependent adhesion [44; 43].

When contact overlaps/deformations become too large, the physics changes and the present model is limited by a simple linear force displacement branch with the maximal contact stiffness. This is convenient, since it allows to fix the time-step for numerical integration, however, the model becomes questionable anyway in the regime of large deformations.

## 1.3 Related issues in Brief

For techniques to perform the so-called micro-macro transition, see e.g. [91; 92; 40] and references therein. The challenge here is to reduce the tremendous amount of information on the contact level, like contact-orientation and -force probability distribution functions [68], to the relevant macroscopic properties related to bulk-moduli, anisotropy and inhomogeneity in the contact network. The quest for a macroscopic constitutive model based on microscopic contact parameters is still ongoing.

Contact force measurements are rather simple for larger particles [18; 37; 33], but for particles of micrometer size advanced techniques have to be applied, see e.g. [30; 8; 20] and references therein. Even though contacts can have a temperature and time-dependent behavior as during sintering [50; 51; 46], this will not be the issue of the present study. Since the model presented below allows for pressure-sintering, a sample of particles can form a solid block, if compressed strong enough. The solid, sintered sample contains all memory of its history and the primary particles are still separate entities. Such a “granulate” can then be examined by a compressive and tensile tests – and all this without the much more complex modeling of non-spherical particles and without the often used beam-like models for contact adhesion and rolling resistance [89].

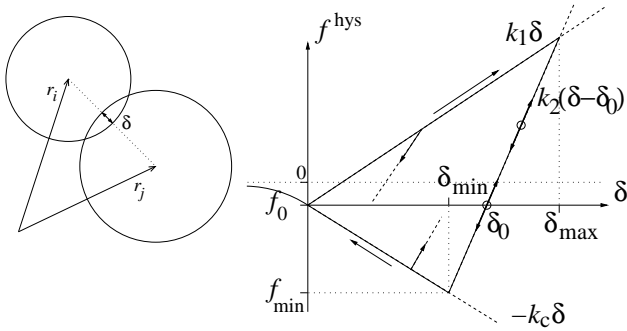
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## 2 Soft Particle Molecular Dynamics (MD)

Many-particle simulation methods like MD are also referred to as discrete element models (DEM) [12; 3; 21; 81; 85; 91; 34]. They complement experiments on small “representative volume elements” (REVs) by providing deep and detailed insight into the kinematics and dynamics of the samples examined. Large scale industrial applications, simulated particle by particle, are out of reach of DEM, since much more than the typical easy-to-deal-with million particles are involved in a silo or a dam.

## 2.1 Discrete Particle Model

The realistic and detailed modeling of the deformations of particles in contact with each other is much too complicated; therefore, we relate the interaction force to the overlap  $\delta$  of two particles, see Fig. 1. In tangential direction, the forces and torques also depend on the tangential displacement and the relative rotations of the particle surfaces – different rotational degrees of freedom are responsible for sliding, rolling and torsion. Inter-particle forces based on the overlap and relative motion might not be sufficient to account for the inhomogeneous stress distribution inside the particles and possible multi-contact effects. Thus, the results presented here are of the same quality as the simplifying assumptions about the force-overlap relations made. However, it is the only way to model larger samples of particles with a minimal complexity of the contact properties, taking into account the relevant phenomena: non-linear contact elasticity, plastic deformation, and adhesion.



**Fig. 1** (Left) Two particle contact with overlap  $\delta$  in normal direction. (Right) Schematic graph of the piece-wise linear, hysteretic, adhesive force-displacement model in normal direction.

## 2.2 Equations of Motion

Given the sum of forces  $\mathbf{f}_i$  acting on a particle  $i$ , either from other particles, or from walls, the problem is reduced to the integration of Newton's equations of motion for the translational and rotational degrees of freedom:

$$m_i \frac{d^2}{dt^2} \mathbf{r}_i = \mathbf{f}_i + m_i \mathbf{g}, \quad \text{and} \quad I_i \frac{d}{dt} \boldsymbol{\omega}_i = \mathbf{q}_i, \quad (1)$$

with the mass  $m_i$  of particle  $i$ , its position  $\mathbf{r}_i$  the total force  $\mathbf{f}_i = \sum_c \mathbf{f}_i^c$ , the acceleration due to volume forces like gravity  $\mathbf{g}$ , the particles moment of inertia  $I_i$ , its angular velocity  $\boldsymbol{\omega}_i$  and the total torque  $\mathbf{q}_i = \mathbf{q}_i^{\text{friction}} + \mathbf{q}_i^{\text{rolling}} + \mathbf{q}_i^{\text{torsion}}$ , as defined below.

The equations of motion are thus a system of  $\mathcal{D} + \mathcal{D}(\mathcal{D} - 1)/2$  coupled ordinary differential equations to be solved in  $\mathcal{D}$  dimensions, with  $\mathcal{D} = 2$  or  $\mathcal{D} = 3$ . With

tools from numerical integration, as nicely described in textbooks as [1; 67; 61], this is a straightforward exercise. The typically short-ranged interactions in granular media allow for optimization by using linked-cell (LC) or alternative methods in order to make the neighborhood search more efficient. In the case of interactions that range longer than contact-interactions, (e.g., charged particles or van der Waals type forces) this is not possible anymore, so that either a cut-off distance or more advanced methods for speed-up have to be applied.

## 2.3 Normal Contact Force Laws

Two spherical particles  $i$  and  $j$ , with radii  $a_i$  and  $a_j$ , respectively, interact only if they are in contact so that their overlap

$$\delta = (a_i + a_j) - (\mathbf{r}_i - \mathbf{r}_j) \cdot \mathbf{n} \quad (2)$$

is positive,  $\delta > 0$ , with the unit vector  $\mathbf{n} = \mathbf{n}_{ij} = (\mathbf{r}_i - \mathbf{r}_j)/|\mathbf{r}_i - \mathbf{r}_j|$  pointing from  $j$  to  $i$ . The force on particle  $i$ , from particle  $j$ , at contact  $c$ , can be decomposed into a normal and a tangential part as  $\mathbf{f}^c := \mathbf{f}_i^c = f^n \mathbf{n} + f^t \mathbf{t}$ , where  $\mathbf{n} \cdot \mathbf{t} = 0$ . The tangential force leads to a torque like rolling and torsion do, see below.

### 2.3.1 Linear Contact Model

The simplest normal contact force model, which takes care of excluded volume, and thus the particle elasticity and stiffness, as well as dissipation, involves a linear repulsive and a linear viscous (velocity-dependent) force

$$f^n = k\delta + \gamma_0 v_n, \quad (3)$$

with a spring stiffness  $k$ , a viscous damping  $\gamma_0$ , and the relative velocity in normal direction  $v_n = -\mathbf{v}_{ij} \cdot \mathbf{n} = -(\mathbf{v}_i - \mathbf{v}_j) \cdot \mathbf{n} = \dot{\delta}$ . This so-called linear spring dashpot (LSD) model describes particle contacts as damped harmonic oscillators, for which the half-period of a vibration – around an equilibrium position with a certain contact force – can be computed analytically [39]. The typical response time, i.e. contact duration, is

$$t_c = \frac{\pi}{\omega}, \quad \text{with} \quad \omega = \sqrt{(k/m_{12}) - \eta_0^2}, \quad (4)$$

the eigenfrequency of the contact, the rescaled damping coefficient  $\eta_0 = \gamma_0/(2m_{ij})$ , and the reduced mass  $m_{ij} = m_i m_j / (m_i + m_j)$ . From the solution of the equation of a half period of the oscillation, one also obtains the coefficient of restitution as the ratio between final (primed) and initial velocity,

$$r = v'_n / v_n = \exp(-\pi \eta_0 / \omega) = \exp(-\eta_0 t_c). \quad (5)$$

The contact duration in Eq. (4) is also of practical technical importance, since the integration of the equations of motion is stable only if the integration time-step  $\Delta t_{\text{MD}}$  is much smaller than  $t_c$ . Note that  $t_c$  depends on the

magnitude of dissipation: In the extreme case of an overdamped spring,  $t_c$  can become very large (which would render the contact behavior artificial [47]). Thus, the use of neither too weak nor too strong dissipation is recommended; restitution coefficients between about 0.4 and 0.8 can be seen as “strong” dissipation. Lower values lead to artificially strong viscous effects, while larger values correspond to weaker and weaker dissipation, with  $r = 1$ , the elastic limit.

### 2.3.2 Adhesive, Elasto-Plastic Contact Model

Here, a variant of the linear hysteretic spring model [98; 39; 87] is introduced. This model is the simpler version of more complicated nonlinear-hysteretic force laws [98; 99; 71; 87; 88]. The adhesive, plastic (hysteretic) force is

$$f^{\text{hys}} = \begin{cases} k_1 \delta & \text{if } k_2(\delta - \delta_0) \geq k_1 \delta \\ k_2(\delta - \delta_0) & \text{if } k_1 \delta > k_2(\delta - \delta_0) > -k_c \delta \\ -k_c \delta & \text{if } -k_c \delta \geq k_2(\delta - \delta_0) \end{cases} \quad (6)$$

with  $k_1 \leq k_2 \leq \hat{k}_2$ , see Fig. 1. The lines with slopes  $k_1$  and  $-k_c$  define the range of possible force values. Between these two extremes, unloading and reloading follow a line with slope  $k_2$ , which interpolates between  $k_1$  and a maximum stiffness  $\hat{k}_2$ . Possible equilibrium states are indicated as circles in Fig. 1, where the upper and lower circle correspond to a pre-stressed and stress-free state, respectively. Small perturbations lead, in general, to small deviations along the line with slope  $k_2$  as indicated by the arrows in Fig. 1.

During *initial loading* the force increases linearly with the overlap  $\delta$ , until the maximum overlap  $\delta_{\text{max}}$  is reached ( $\delta_{\text{max}}$  is kept in memory as a history variable). The line with slope  $k_1$  thus defines the maximum force possible for a given  $\delta$ .

During *unloading* the force drops on a line with slope  $k_2$ , which depends, in general, on  $\delta_{\text{max}}$ , see Eq. (8). The force at  $\delta = \delta_{\text{max}}$  decreases to zero, at overlap  $\delta_0 = (1 - k_1/k_2)\delta_{\text{max}}$ , which resembles the *plastic contact deformation*. *Reloading* at any instant leads to an increase of the force along the same line with slope  $k_2$ , until the maximum force is reached; for still increasing  $\delta$ , the force follows again the line with slope  $k_1$  and  $\delta_{\text{max}}$  has to be adjusted accordingly.

Unloading below  $\delta_0$  leads to *attractive adhesion forces* until the minimum force  $-k_c \delta_{\text{min}}$  is reached at the overlap  $\delta_{\text{min}} = (k_2 - k_1)\delta_{\text{max}}/(k_2 + k_c)$ , a function of the model parameters  $k_1$ ,  $k_2$ ,  $k_c$ , and the history parameter  $\delta_{\text{max}}$ . Further unloading leads to attractive forces  $f^{\text{hys}} = -k_c \delta$  on the adhesive branch with slope  $-k_c$ . The highest possible attractive force, for given  $k_1$  and  $k_2$ , is reached for  $k_c \rightarrow \infty$ , so that one has  $f_{\text{min}} \geq -(k_2 - k_1)\delta_{\text{max}}$  for arbitrary  $k_c$ .

A non-linear un-/re-loading behavior would be more realistic, however, due to a lack of detailed experimental informations, the piece-wise linear model is used as a compromise. One reasonable refinement, which accounts

for an *increasing stiffness with deformation*, is a  $k_2$  value dependent on the maximum overlap. This also implies relatively small and large plastic deformations for weak and strong contact forces, respectively. Unless a constant  $k_2 = \hat{k}_2$  is used, the contact model [50; 44; 43], requires an additional quantity, i.e., the plastic flow limit overlap

$$\delta_{\text{max}}^* = \frac{\hat{k}_2}{\hat{k}_2 - k_1} \phi_f \frac{2a_1 a_2}{a_1 + a_2}, \quad (7)$$

with the dimensionless plasticity depth,  $\phi_f$ , defined relative to the reduced radius. If the overlap is larger than a fraction  $\phi_f$  of the particle radius (for  $a_1 = a_2$ ), the (maximal) constant stiffness  $\hat{k}_2$  is used. For different particle radii, the reduced radius increases towards the diameter of the smaller particles in the extreme case of particle-wall contacts (where the wall-radius is assumed infinite). This formulation is equivalent to earlier versions [44; 43] for almost equal-sized particles, but has some advantages for large size-differences.

Note that a limit stiffness  $k_2 \leq \hat{k}_2$  is desirable for practical reasons. If  $k_2$  would not be limited, the contact duration could become very small so that the time step would have to be reduced below reasonable values. For overlaps smaller than  $\delta_{\text{max}}^*$ , the function  $k_2(\delta_{\text{max}})$  interpolates linearly between  $k_1$  and  $k_2$ :

$$k_2(\delta_{\text{max}}) = \begin{cases} \hat{k}_2 & \text{if } \delta_{\text{max}} \geq \delta_{\text{max}}^* \\ k_1 + (\hat{k}_2 - k_1) \frac{\delta_{\text{max}}}{\delta_{\text{max}}^*} & \text{if } \delta_{\text{max}} < \delta_{\text{max}}^* \end{cases}. \quad (8)$$

While in the case of collisions of particles with large relative velocities – and thus large deformations – dissipation takes place due to the hysteretic nature of the force-law, reasonably strong dissipation of small amplitude deformations is achieved by adding the viscous, velocity dependent dissipative force from Eq. (3) to the hysteretic force, such that  $f^n = f^{\text{hys}} + \gamma_0 v_n$ .

In summary, the adhesive, plastic, hysteretic normal contact model contains the five parameters  $k_1$ ,  $\hat{k}_2$ ,  $k_c$ ,  $\phi_f$ , and  $\gamma_0$  that respectively account for (i) loading- and (ii) reloading-stiffness and plastic deformation, (iii) adhesion strength, (iv) plastic overlap-range of the model, and (v) viscous dissipation. Finally, we remark that the hysteretic model contains the linear contact model as special case  $k_1/\hat{k}_2 = 1$  for which  $k_c$  and  $\phi_f$  become meaningless.

Normal van der Waals type particle interactions that lead to attractive forces already when the particles are still separated are not discussed here, for details see [43] and references therein.

## 2.4 Tangential Contact Force Laws

For the tangential degrees of freedom, there are three different force- and torque-laws to be implemented: (i) friction, (ii) rolling resistance, and (iii) torsion resistance.

### 2.4.1 Sliding

For dynamic (sliding) and static *friction*, the relative tangential velocity of the contact points,

$$\mathbf{v}_t = \mathbf{v}_{ij} - \mathbf{n}(\mathbf{n} \cdot \mathbf{v}_{ij}), \quad (9)$$

is to be considered for the force and torque computations in subsection 2.5, with the total relative velocity of the particle surfaces at the contact

$$\mathbf{v}_{ij} = \mathbf{v}_i - \mathbf{v}_j + a'_i \mathbf{n} \times \boldsymbol{\omega}_i + a'_j \mathbf{n} \times \boldsymbol{\omega}_j, \quad (10)$$

with the corrected radius relative to the contact point  $a'_\alpha = a_\alpha - \delta/2$ , for  $\alpha = i, j$ . Tangential forces acting on the contacting particles are computed from the accumulated sliding of the contact points along each other, as described in detail in subsection 2.5.1.

### 2.4.2 Objectivity

In general, two particles can rotate together, due to both a rotation of the reference frame or a non-central ‘‘collision’’. The angular velocity  $\boldsymbol{\omega}_0 = \boldsymbol{\omega}_0^n + \boldsymbol{\omega}_0^t$ , of the rotating reference has the tangential-plane component

$$\boldsymbol{\omega}_0^t = \frac{\mathbf{n} \times (\mathbf{v}_i - \mathbf{v}_j)}{a'_i + a'_j}, \quad (11)$$

which is related to the relative velocity, while the normal component,  $\boldsymbol{\omega}_0^n$ , is not. Inserting  $\boldsymbol{\omega}_i = \boldsymbol{\omega}_j = \boldsymbol{\omega}_0^t$ , from Eq. (11), into Eq. (10) leads to zero sliding velocity, proving that the above relations are objective. Tangential forces and torques due to sliding can become active only when the particles are rotating with respect to the common rotating reference frame.<sup>1</sup>

Since action should be equal to reaction, the tangential forces are equally strong, but opposite, i.e.,  $\mathbf{f}_i^t = -\mathbf{f}_j^t$ , while the corresponding torques are parallel but not necessarily equal in magnitude:  $\mathbf{q}_i^{\text{friction}} = -a'_i \mathbf{n} \times \mathbf{f}_i$ , and  $\mathbf{q}_j^{\text{friction}} = (a'_j/a'_i) \mathbf{q}_i^{\text{friction}}$ . Note that tangential forces and torques *together* conserve the total angular momentum about the pair center of mass

$$\mathbf{L}_{ij} = \mathbf{L}_i + \mathbf{L}_j + m_i r_{icm}^2 \boldsymbol{\omega}_0^t + m_j r_{jcm}^2 \boldsymbol{\omega}_0^t, \quad (12)$$

with the rotational contributions  $\mathbf{L}_\alpha = I_\alpha \boldsymbol{\omega}_\alpha$ , for  $\alpha = i, j$ , and the distances  $r_{\alpha cm} = |\mathbf{r}_\alpha - \mathbf{r}_{cm}|$  from the particle centers to the center of mass  $\mathbf{r}_{cm} = (m_i \mathbf{r}_i + m_j \mathbf{r}_j)/(m_i + m_j)$ , see Ref. [39]. The change of angular momentum consists of the change of particle spins (first term) and of the change of the angular momentum of the two masses rotating about their common center of mass (second term):

$$\frac{d\mathbf{L}_{ij}}{dt} = \mathbf{q}_i^{\text{friction}} \left(1 + \frac{a'_j}{a'_i}\right) + (m_i r_{icm}^2 + m_j r_{jcm}^2) \frac{d\boldsymbol{\omega}_0^t}{dt}, \quad (13)$$

<sup>1</sup> For rolling and torsion, there is no similar relation between rotational and tangential degrees of freedom: for any rotating reference frame, torques due to rolling and torsion can become active only due to rotation relative to the common reference frame, see below.

which both contribute, but exactly cancel each other, since

$$\begin{aligned} \mathbf{q}_i^{\text{friction}} \left(1 + \frac{a'_j}{a'_i}\right) &= -(a'_i + a'_j) \mathbf{n} \times \mathbf{f}_i \\ &= -(m_i r_{icm}^2 + m_j r_{jcm}^2) \frac{d\boldsymbol{\omega}_0^t}{dt}, \end{aligned} \quad (14)$$

see [43] for more details.

### 2.4.3 Rolling

A *rolling* velocity  $\mathbf{v}_r^0 = -a'_i \mathbf{n} \times \boldsymbol{\omega}_i + a'_j \mathbf{n} \times \boldsymbol{\omega}_j$ , defined in analogy to the sliding velocity, is not objective in general [17; 43] – only in the special cases of (i) equal-sized particles or (ii) for a particle rolling on a fixed flat surface.

The rolling velocity should quantify the distance the two surfaces roll over each other (without sliding). Therefore, it is equal for both particles by definition. An *objective rolling velocity* is obtained by using the reduced radius,  $a'_{ij} = a'_i a'_j / (a'_i + a'_j)$ , so that

$$\mathbf{v}_r = -a'_{ij} (\mathbf{n} \times \boldsymbol{\omega}_i - \mathbf{n} \times \boldsymbol{\omega}_j). \quad (15)$$

This definition is objective since any common rotation of the two particles vanishes by construction. A more detailed discussion of this issue is beyond the scope of this paper, rather see [17; 43] and the references therein.

A rolling velocity will activate torques, acting against the rolling motion, e.g., when two particles are rotating anti-parallel with spins in the tangential plane. These torques are then equal in magnitude and opposite in direction, i.e.,  $\mathbf{q}_i^{\text{rolling}} = -\mathbf{q}_j^{\text{rolling}} = a_{ij} \mathbf{n} \times \mathbf{f}_r$ , with the quasi-force  $\mathbf{f}_r$ , computed in analogy to the friction force, as function of the rolling velocity  $\mathbf{v}_r$  in subsection 2.5.2; the quasi-forces for both particles are equal and do not act on the centers of mass. Therefore, the total momenta (translational and angular) are conserved.

### 2.4.4 Torsion

For *torsion resistance*, the relative spin along the normal direction

$$\mathbf{v}_o = a_{ij} (\mathbf{n} \cdot \boldsymbol{\omega}_i - \mathbf{n} \cdot \boldsymbol{\omega}_j) \mathbf{n}, \quad (16)$$

is to be considered, which activates torques when two particles are rotating anti-parallel with spins parallel to the normal direction. Torsion is not activated by a common rotation of the particles around the normal direction  $\mathbf{n} \cdot \boldsymbol{\omega}_0 = \mathbf{n} \cdot (\boldsymbol{\omega}_i + \boldsymbol{\omega}_j)/2$ , which makes the torsion resistance objective.

The torsion torques are equal in magnitude and directed in opposite directions, i.e.,  $\mathbf{q}_i^{\text{torsion}} = -\mathbf{q}_j^{\text{torsion}} = a_{ij} \mathbf{f}_o$ , with the quasi-force  $\mathbf{f}_o$ , computed from the torsion velocity in subsection 2.5.3, and also not changing the translational momentum. Like for rolling, the torsion torques conserve the total angular momentum.

### 2.4.5 Summary

The implementation of the tangential force computations for  $\mathbf{f}_t$ ,  $\mathbf{f}_r$ , and  $\mathbf{f}_o$  as based on  $\mathbf{v}_t$ ,  $\mathbf{v}_r$ , and  $\mathbf{v}_o$ , respectively, is assumed to be *identical*, i.e., even the same subroutine is used, but with different parameters as specified below. The difference is that friction leads to a force in the tangential plane (changing both translational and angular momentum), while rolling- and torsion-resistance lead to quasi-forces in the tangential plane and the normal direction, respectively, changing the particles' angular momentum only. For more details on tangential contact models, friction, rolling and torsion, see Refs. [2; 16; 44; 43; 17].

## 2.5 The tangential contact model

The tangential contact model presented now is a single procedure (subroutine) that can be used to compute either sliding, rolling, or torsion resistance. The subroutine needs a relative velocity as input and returns the respective force or quasi-force as function of the accumulated deformation. The sliding/sticking friction model will be introduced in detail, while rolling and torsion resistance are discussed where different.

### 2.5.1 Sliding/Sticking Friction Model

The tangential force is coupled to the normal force via Coulomb's law,  $f^t \leq f_C^s := \mu^s f^n$ , where for the sliding case one has dynamic friction with  $f^t = f_C^d := \mu^d f^n$ . The dynamic and the static friction coefficients follow, in general, the relation  $\mu^d \leq \mu^s$ . The static situation requires an elastic spring in order to allow for a restoring force, i.e., a non-zero remaining tangential force in static equilibrium due to activated Coulomb friction.

If a purely repulsive contact is established,  $f^n > 0$ , and the tangential force is active. For an adhesive contact, Coulombs law has to be modified in so far that  $f^n$  is replaced by  $f^n + k_c \delta$ . In this model, the reference for a contact is no longer the zero force level, but it is the adhesive, attractive force level along  $-k_c \delta$ .

If a contact is active, one has to project (or better rotate) the tangential spring into the actual tangential plane, since the frame of reference of the contact may have rotated since the last time-step. The tangential spring

$$\boldsymbol{\xi} = \boldsymbol{\xi}' - \mathbf{n}(\mathbf{n} \cdot \boldsymbol{\xi}') , \quad (17)$$

is used for the actual computation, where  $\boldsymbol{\xi}'$  is the old spring from the last iteration, with  $|\boldsymbol{\xi}| = |\boldsymbol{\xi}'|$  enforced by appropriate scaling/rotation. If the spring is new, the tangential spring-length is zero, but its change is well defined after the first, initiation step. In order to compute the changes of the tangential spring, a tangential test-force is first computed as the sum of the tangential spring

force and a tangential viscous force (in analogy to the normal viscous force)

$$\mathbf{f}_0^t = -k_t \boldsymbol{\xi} - \gamma_t \mathbf{v}_t , \quad (18)$$

with the tangential spring stiffness  $k_t$ , the tangential dissipation parameter  $\gamma_t$ , and  $\mathbf{v}_t$  from Eq. (9). As long as  $|\mathbf{f}_0^t| \leq f_C^s$ , with  $f_C^s = \mu^s (f^n + k_c \delta)$ , one has static friction and, on the other hand, for  $|\mathbf{f}_0^t| > f_C^s$ , sliding friction becomes active. As soon as  $|\mathbf{f}_0^t|$  gets smaller than  $f_C^d$ , static friction becomes active again.

In the *static friction* case, below the Coulomb limit, the tangential spring is incremented

$$\boldsymbol{\xi}' = \boldsymbol{\xi} + \mathbf{v}_t \Delta t_{\text{MD}} , \quad (19)$$

to be used in the next iteration in Eq. (17), and the tangential force  $\mathbf{f}^t = \mathbf{f}_0^t$  from Eq. (18) is used. In the *sliding friction* case, the tangential spring is adjusted to a length consistent with Coulombs condition, so that

$$\boldsymbol{\xi}' = -\frac{1}{k_t} (f_C^d \mathbf{t} + \gamma_t \mathbf{v}_t) , \quad (20)$$

with the tangential unit vector,  $\mathbf{t} = \mathbf{f}_0^t / |\mathbf{f}_0^t|$ , defined by Eq. (18), and thus the magnitude of the Coulomb force is used. Inserting  $\boldsymbol{\xi}'$  from Eq. (20) into Eq. (18) during the next iteration will lead to  $\mathbf{f}_0^t \approx f_C^d \mathbf{t}$ . Note that  $\mathbf{f}_0^t$  and  $\mathbf{v}_t$  are not necessarily parallel in three dimensions. However, the mapping in Eq. (20) works always, rotating the new spring such that the direction of the frictional force is unchanged and, at the same time, limiting the spring in length according to Coulombs law. In short notation the tangential contact law reads

$$\mathbf{f}^t = f^t \mathbf{t} = +\min(f_C, |\mathbf{f}_0^t|) \mathbf{t} , \quad (21)$$

where  $f_C$  follows the static/dynamic selection rules described above. The torque on a particle due to frictional forces at this contact is  $\mathbf{q}^{\text{friction}} = \mathbf{l}_i^c \times \mathbf{f}_i^c$ , where  $\mathbf{l}_i^c$  is the branch vector, connecting the center of the particle with the contact point. Note that the torque on the contact partner is generally different in magnitude, since  $\mathbf{l}_i^c$  can be different, but points in the same direction; see subsection 2.4.2 for details on this.

The four parameters for the friction law are  $k_t$ ,  $\mu_s$ ,  $\phi_d = \mu_d / \mu_s$ , and  $\gamma_t$ , accounting for tangential stiffness, the static friction coefficient, the dynamic friction ratio, and the tangential viscosity, respectively. Note that the tangential force described above is identical to the classical Cundall-Strack spring only in the limits  $\mu = \mu^s = \mu^d$ , i.e.,  $\phi_d = 1$ , and  $\gamma_t = 0$ . The sequence of computations and the definitions and mappings into the tangential direction can be used in 3D as well as in 2D.

### 2.5.2 Rolling Resistance Model

The three new parameters for rolling resistance are  $k_r$ ,  $\mu_r$ , and  $\gamma_r$ , while  $\phi_r = \phi_d$  is used from the friction law. The new parameters account for rolling stiffness, a static rolling "friction" coefficient, and rolling viscosity, respectively. In the subroutine called, the rolling velocity  $\mathbf{v}_r$  is used instead of  $\mathbf{v}_t$  and the computed quasi-force  $\mathbf{f}_r$  is used to compute the torques,  $\mathbf{q}^{\text{rolling}}$ , on the particles.

### 2.5.3 Torsion Resistance Model

The three new parameters for rolling resistance are  $k_o$ ,  $\mu_o$ , and  $\gamma_o$ , while  $\phi_o = \phi_d$  is used from the friction law. The new parameters account for torsion stiffness, a static torsion “friction” coefficient, and torsion viscosity, respectively. In the subroutine, the torsion velocity  $\mathbf{v}_o$  is used instead of  $\mathbf{v}_t$  and the projection is a projection along the normal unit-vector, not into the tangential plane as for the other two models. The computed quasi-force  $\mathbf{f}_o$  is then used to compute the torques,  $\mathbf{q}^{\text{torsion}}$ , on the particles.

### 2.6 Background Friction

Note that the viscous dissipation takes place in a two-particle contact. In the bulk material, where many particles are in contact with each other, this dissipation mode is very inefficient for long-wavelength cooperative modes of motion [48; 47]. Therefore, an additional damping with the background can be introduced, so that the total force on particle  $i$  is

$$\mathbf{f}_i = \sum_j (f^n \mathbf{n} + f^t \mathbf{t}) - \gamma_b \mathbf{v}_i, \quad (22)$$

and the total torque

$$\mathbf{q}_i = \sum_j (\mathbf{q}^{\text{friction}} + \mathbf{q}^{\text{rolling}} + \mathbf{q}^{\text{torsion}}) - \gamma_{br} a_i^2 \boldsymbol{\omega}_i, \quad (23)$$

with the damping artificially enhanced in the spirit of a rapid relaxation and equilibration. The sum in Eqs. (22) and (23) takes into account all contact partners  $j$  of particle  $i$ , but the background dissipation can be attributed to the medium between the particles. Note that the effect of  $\gamma_b$  and  $\gamma_{br}$  should be checked for each set of parameters: it should be small in order to exclude artificial over-damping. The set of parameters is summarized in table 1. Note that only a few parameters are specified with dimensions, while the other parameters are expressed as ratios.

## 3 Tension Test Simulation Results

In this section, uni-axial tension tests and a few compression tests are presented. The tests consists of three stages: (i) pressure sintering, (ii) stress-relaxation, and (iii) the compression- or tension-test itself. The contact parameters, as introduced in the previous section, are summarized in table 1 and typical values are given in table 2. These parameters are used for particle-particle contacts, *the same for all tests*, unless explicitly specified.

For *pressure sintering*, a loose assembly of particles is first compressed with an isotropic stress  $p_s 2a/\hat{k}_2 \approx 0.02$  in a cuboid volume. The adhesive contact forces are

Property	Symbol
Time unit	$t_u$
Length unit	$x_u$
Mass unit	$m_u$
Particle radius	$a_0$
Material density	$\rho$
Elastic stiffness (variable)	$k_2$
Maximal elastic stiffness	$k = \hat{k}_2$
Plastic stiffness	$k_1/k$
Adhesion “stiffness”	$k_c/k$
Friction stiffness	$k_t/k$
Rolling stiffness	$k_r/k$
Torsion stiffness	$k_o/k$
Plasticity depth	$\phi_f$
Coulomb friction coefficient	$\mu = \mu_d = \mu_s$
Dynamic to static Friction ratio	$\phi_d = \mu_d/\mu_s$
Rolling “friction” coefficient	$\mu_r$
Torsion “friction” coefficient	$\mu_o$
Normal viscosity	$\gamma = \gamma_n$
Friction viscosity	$\gamma_t/\gamma$
Rolling viscosity	$\gamma_r/\gamma$
Torsion viscosity	$\gamma_o/\gamma$
Background viscosity	$\gamma_b/\gamma$
Background viscous torque	$\gamma_{br}/\gamma$

**Table 1** The microscopic contact model parameters.

activated this way. Two of the six walls are adhesive, with  $k_c^{\text{wall}}/\hat{k}_2 = 20$ , so that the sample sticks to them, while all other walls are adhesionless, so that they can be easily removed in the second step. (During compression and sintering, the walls could all be without adhesion, since the high pressure used keeps the sample together anyway – only later for relaxation, adhesion must switched on. If not the sample does not remain a solid, and it also could lose contact with the walls, which are later used to apply the tensile strain.)

Note that all walls are frictionless during sintering, while the particles are slightly adhesive and frictional. (If the walls would be frictional, the pressure from a certain wall would not be transferred completely to the respective opposite wall, since frictional forces carry part of the load – an effect that is known since the early work of Janssen [23; 77; 86].)

Pressure-sintering is stopped when the kinetic energy of the sample is many orders of magnitude smaller than the potential energy – typically 10 orders of magnitude.

During *stress-relaxation* all wall stresses are slowly released to  $p_r/p_s \ll 1$  and the sample is relaxed until the kinetic energy is much smaller than the potential energy. The sample is ready for the *tension tests*. In fact, the same initial configuration is used for all the tests presented below. Note that the non-adhesive side walls still feel a very small external stress that is not big enough to affect the dynamics of the tension test, it is just convenient to keep the walls close to the sample.

For the *tension test* wall friction is typically active, but some variation does not show a big effect. One of the sticky walls is slowly and smoothly moved outwards like

described and applied in earlier studies [45; 42], following a prescribed cosine-function with time.

### 3.1 Model Parameters

The system contains  $N = 1728$  particles with radii  $a_i$  drawn from a Gaussian distribution around  $a = 0.005$  mm [13; 14]. The contact model parameters are summarized in tables 1 and 2. The volume fraction,  $\nu = \sum_i V(a_i)/V$ , with the particle volume  $V(a_i) = (4/3)\pi a_i^3$ , reached during pressure sintering with  $2ap_s/\hat{k}_2 = 0.01$  is  $\nu_s = 0.6754$ . The coordination number is  $\mathcal{C} \approx 7.16$  in this state. After stress-relaxation, these values have changed to  $\nu \approx 0.629$  and  $\mathcal{C} \approx 6.19$ . A different preparation procedure (with adhesion  $k_c/\hat{k}_2 = 0$  during sintering) does not lead to a difference in density after sintering. However, one observes  $\nu \approx 0.630$  and  $\mathcal{C} \approx 6.23$  after relaxation. For both preparation procedures the tension test results are virtually identical, so that only the first procedure is used in the following.

Symbol	Value	rescaled units	SI-units
$t_u$	1	1 $\mu$ s	$10^{-6}$ s
$x_u$	1	1 mm	$10^{-3}$ m
$m_u$	1	1 mg	$10^{-6}$ kg
$a_0$	0.005	5 $\mu$ m	$5 \cdot 10^{-6}$ m
$\rho$	2	2 mg/mm <sup>3</sup>	2000 kg/m <sup>3</sup>
$k = \hat{k}_2$	5	5 mg/ $\mu$ s <sup>2</sup>	$5 \cdot 10^6$ kg/s <sup>2</sup>
$k_1/k$	0.5		
$k_c/k$	0.5		
$k_t/k$	0.2		
$k_r/k = k_o/k$	0.1		
$\phi_f$	0.05		
$\mu = \mu_d = \mu_s$	1		
$\phi_d = \mu_d/\mu_s$	1		
$\mu_r = \mu_o$	0.1		
$\gamma = \gamma_n$	$5 \cdot 10^{-5}$	$5 \cdot 10^{-5}$ mg/ $\mu$ s	$5 \cdot 10^1$ kg/s
$\gamma_t/\gamma$	0.2		
$\gamma_r/\gamma = \gamma_o/\gamma$	0.05		
$\gamma_b/\gamma$	4.0		
$\gamma_{br}/\gamma$	1.0		

**Table 2** Microscopic material parameters used (second column), if not explicitly specified. The third column contains these values in the appropriate units, i.e., when the time-, length-, and mass-unit are  $\mu$ s, mm, and mg, respectively. Column four contains the parameters in SI-units. Energy, force, acceleration, and stress have to be scaled with factors of 1,  $10^3$ ,  $10^9$ , and  $10^9$ , respectively, for a transition from reduced to SI-units.

The material parameters used for the particle contacts are given in table 2. The particle-wall contact parameters are the same, except for cohesion and friction, for which  $k_c^{\text{wall}}/\hat{k}_2 = 20$  and  $\mu^{\text{wall}} = 10$  are used – the former during all stages, the latter only during tensile testing.

The choice of numbers and units is such that the particles correspond spheres with several microns in radius. The magnitude of stiffness  $k$  cannot be compared directly with the material bulk modulus  $C$ , since it is a contact property. However, there are relations from micro-macro transition analysis, which allow to relate  $k$  and  $C \sim kCa^2/V$  [42].

Using the parameter  $k = \hat{k}_2$  in Eq. (4) leads to a typical contact duration (half-period)  $t_c \approx 6.5 \cdot 10^{-4}$   $\mu$ s, for a normal collision of a large and a small particle with  $\gamma = 0$ . Accordingly, an integration time-step of  $t_{\text{MD}} = 5 \cdot 10^{-6}$   $\mu$ s is used, in order to allow for a “safe” integration of the equations of motion. Note that not only the normal “eigenfrequency” but also the eigenfrequencies in tangential and rotational direction have to be considered as well as the viscous response times  $t_\gamma \approx m/\gamma$ . All of the physical time-scales should be considerably larger than  $t_{\text{MD}}$ , whereas the viscous response times should be even larger, so that  $t_\gamma > t_c > t_{\text{MD}}$ . A more detailed discussion of all the effects due to the interplay between the model parameters and the related times is, however, far from the scope of this paper.

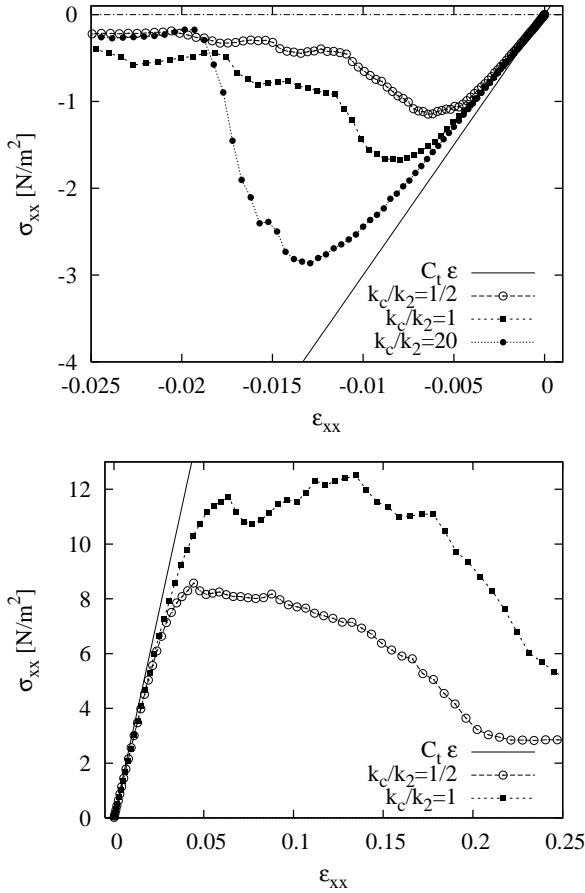
### 3.2 Tensile strength and contact adhesion

The tensile (compressive) test is performed uni-axially in  $x$ -direction by increasing (reducing) slowly and smoothly the distance between the two sticky walls. (The same initial sample, prepared with  $k_c/\hat{k}_2 = 1/2$ , is used for all tests reported here.) The stress-strain curves for different cohesion are plotted in Fig. 2, for both tension and compression.

The axial tensile stress initially increases linearly with strain, practically independent from the contact adhesion strength. With increasing strain, a considerable number of contacts are opened due to tension – contacts open more easily for smaller adhesion (data not shown). This leads to a decrease of the stress-strain slope, then the stress reaches a maximum and, for larger strain, turns into a softening failure mode. As expected, the maximal stress is increasing with contact adhesion  $k_c/\hat{k}_2$ . The compressive strength is 6 – 7 times larger than the tensile strength, and a larger adhesion force also allows for larger deformation before failure. The sample with weakest adhesion,  $k_c/\hat{k}_2 = 1/2$ , shows tensile and compressive failure at strains  $\varepsilon_{xx} \approx -0.006$  and  $\varepsilon_{xx} \approx 0.045$ , respectively.

Note that for tension, the post-peak behavior for the test with  $k_c/\hat{k}_2 = 20$  is different from the other two cases, due to the strong particle-particle contact adhesion. In this case, the tensile fracture occurs at the wall (except for a few particles that remain in contact with the wall). This is in contrast to the other two cases with smaller bulk-adhesion, where the fracture occurs in the bulk, see Fig. 3.

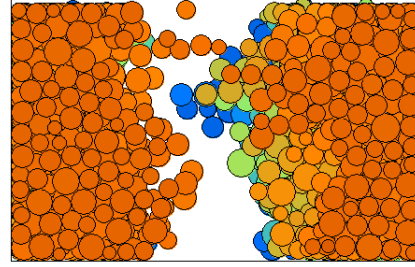




**Fig. 2** (Top) Axial tensile stress plotted against tensile strain for simulations with weak, moderate and strong particle contact adhesion; the  $k_c/\hat{k}_2$  values are given in the inset. The line gives a fit to the linear elastic regime with  $C_t = 3 \cdot 10^{11}$  N/m<sup>2</sup>. (Bottom) Axial compressive stress plotted against compressive strain for two of the parameter sets from the top panel. The initial slope is the same as in the top panel, indicating that the linear elastic regime is identical for tension and compression.

### 3.3 Tensile strength and friction

In Fig. 4, the rather weak effect of various values of friction, rolling- and torsion-resistance becomes evident. For the tensile tests presented here, even the largest friction, rolling- and torsion-resistance used  $\mu = \mu_r = \mu_o = 100$  does not lead to a considerable increase of tensile strength. Furthermore, simulations with different static and dynamic friction coefficients,  $\mu_s = 1$  and  $\mu_d = 0.5$ , also do not lead to different behavior under tension; they rather show, that the contact model is able to deal with different coefficients.

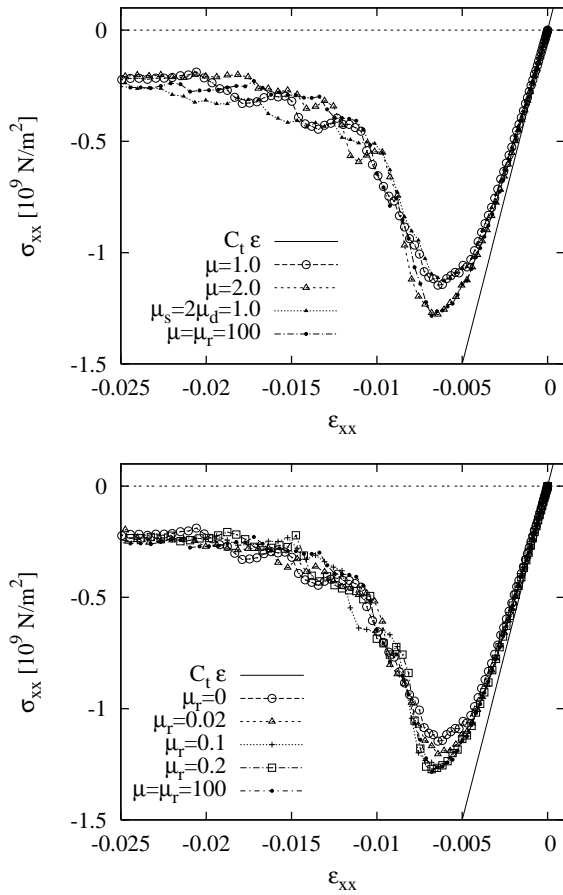


**Fig. 3** (Color online) Snapshot from a tensile test with  $k_c/\hat{k}_2 = 1/2$  at horizontal strain of  $\varepsilon_{xx} \approx 0.8$ . The color code denotes the distance from the viewer: blue, green, and red correspond to large, moderate, and short distance.

## 4 Conclusion

The present study reviews many issues related to soft particle force models. As compromise between simplicity and reality, a special contact model is introduced, involving elastic-visco-plastic normal contact forces, adhesion, friction, and rolling- as well as torsion resistance – all in one. A set of exemplary parameters is used to model cohesive powder in the 3-7 micro-meter range. The powder-sample is first pressure-sintered, then the walls are removed from the solid cuboid sample, and finally the sample is subjected to strain-controlled tension until it fails. Stronger contact adhesion leads to considerably larger tensile strength, while the effect of rolling- and torsion-resistance is very weak for the parameter combinations used here – for related results, see Refs. [44; 43; 51; 46].

The samples are sintered using the force- and torque-models described in section 2 – most parameters are kept constant throughout the three phases of the tensile test, proving that the advanced model is able to mimic a wealth of different behavior without further adjustments. The contact model presented here, besides many model assumptions, still involves a considerable number of parameters. As the tension test has shown, some of them (rolling- and torsion-resistance) seem less important for specific physical properties than others. Naturally, contact adhesion is most important for the tensile strength of the material, but also friction shows an effect to be examined further. Note that some important model parameters, like the ratios  $k_1/k$  and  $k_t/k$  were not yet studied in detail.



**Fig. 4** (Top) Tensile stress plotted against tensile strain for simulations with weak contact adhesion  $k_c/\hat{k}_2 = 1/2$ , and with different rolling- and torsion friction coefficients, as given in the inset. The line shows the same fit as in the figures above.

The quantitative tuning of the DEM model to real experimental data remains the challenge for future research. The results presented here have units that were not supposed to exactly mimic a real material, but should be rather close to some fine powders. Some tuning can be done by rescaling, but a real fine-adjustment will require a more systematic study of all contact model parameters – to be done in the future.

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